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TEMPERED MARTENSITE EMBRITTLEMENT AND FRACTURE TOUGHNESS IN 4340 STEEL

TECHNICAL REPORT

by

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and

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JANUARY 1967

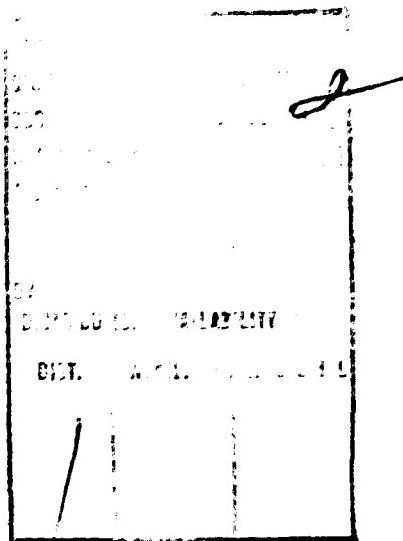
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IN 4340 STEEL**

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ABSTRACT

Tempered martensite embrittleness (500 F embrittleness) has been studied in 4340 steel by means of Charpy impact, tension, and fracture toughness tests carried out over a range of test temperatures. Embrittleness was shown in the impact tests by a minimum in room temperature impact properties for tempering temperatures ranging from 500 to 650 F, the same range for which the transition temperature is a maximum. No evidence of embrittleness was found in tension or room temperature fracture toughness tests. Embrittleness was noted, however, in fracture toughness tests carried out at -50 and -100 F, which indicates that low temperature testing will be necessary for proper materials evaluation. The plane strain fracture toughness (K_{Ic}) of various heats of 4340 steel has been correlated with the weight percent sulfur and phosphorus in the steel.

A mechanism for tempered martensite embrittleness is proposed. Certain impurity elements, such as phosphorus, which are more soluble in ferrite than in cementite, will segregate in the ferrite adjacent to the cementite shortly after the cementite precipitation. This transient enrichment of ferrite by impurity elements will be embrittling when the cementite is in a platelet or filmy form, and particularly so in the region of the prior austenite grain boundaries, where the impurity content may be higher than average.

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INTRODUCTION

Because of the occurrence of brittle fracture in steel, it has been recognized for a number of years that strength level alone is not a sufficient criterion for selecting materials for use in critical applications. In order to supplement strength properties, some measure of toughness is often employed, such as the energy absorption in the Charpy V-notch bend impact test. It has generally been found that the higher the strength level of a steel, the lower the toughness as measured by the Charpy impact energy. This generalization is occasionally violated and particularly low values of toughness may be obtained for a steel of a given strength level either because of poor heat treatment or composition; experience has shown that such steels are prone to brittle fracture in service. Numerous examples of such failures have been reported.¹

Impact testing has been quite successful in indicating a possibility of brittle behavior, one example being steels quenched and tempered in the 500 to 700 F range, the range of so-called 500 F or tempered martensite embrittlement. While no indication of brittle behavior is obtained from a regular tension test, impact tests often reveal a pronounced minimum in the range of 500 to 700 F if room temperature impact energy is plotted against tempering temperature. Since greater toughness can be obtained by tempering at lower or higher temperatures, this tempering temperature range is generally avoided for many steels.

Recently, fracture toughness testing has come into favor at the expense of Charpy testing, especially in the range of high strength levels, where the low values of Charpy energy make this test insensitive, and for sheet materials where full-size Charpy specimens cannot be obtained. The application of fracture mechanics to crack propagation in metals is based on extensions of the original Griffith theory of brittle fracture of glass by Irwin² and Orowan.³ The current status of fracture toughness testing and recommended testing procedures have been presented in a series of reports by ASTM Committee E 24 on Fracture Testing of Metals⁴ and elsewhere.⁵⁻⁷ Different specimens may be used: edge or center-notched sheet or circumferentially notched round bar, loaded in tension; or single edge-notched rectangular specimens loaded in tension or bending. No matter what the specimen type, current practice dictates that the machined notch be extended into a crack, generally by fatiguing. K_{Ic} , the critical value of the stress-intensity factor under plane strain conditions, is generally reported as a measure of fracture toughness.

The purpose of this report is to compare fracture toughness with impact properties in a case where brittleness has been well documented by Charpy impact testing, specifically 4340 steel quenched and tempered in the 500 F embrittlement range.

MATERIAL AND PROCEDURE

The 4340 steel used was taken from 1/8-inch-thick plate with the following chemical analysis: 0.41 C, 0.72 Mn, 0.33 Si, 1.83 Ni, 0.78 Cr, 0.26 Mo, 0.015 P, and 0.009 S. Tensile, impact, and fracture toughness properties were

measured for this steel tempered in 50-degree increments through the embrittlement range, generally 400 F to 700 F. Tension and fracture toughness tests were conducted over a range of temperatures from +200 F to -100 F. The annealed as-received plate was sheared oversized into longitudinal smooth and fracture toughness tension specimen blanks. These blanks were heat treated as follows: normalized for one hour at 1600 F; austenitized at 1550 F for one hour and oil quenched; and tempered for one hour. After heat treatment the blanks were then ground to an approximate thickness of 0.1 inch.

Smooth tensile properties were obtained using a pin-loaded specimen with a 0.5-inch width and a 2.0-inch gage length. The ASTM-recommended fracture toughness specimen measured 3 inches wide by 12 inches long. A 1.0-inch center notch was electrically machined into the specimen and extended to approximately 1.2 inches by tension-tension fatiguing at a maximum stress of 10 or 25 percent of the tensile yield strength. No appreciable differences in fracture toughness values were noted for duplicate specimens using either maximum fatiguing stress. However, the higher stress did result in some shear lip formation. The slow growth of this notch was monitored continuously during testing with an electric-potential technique.⁸ Plane strain fracture toughness values K_{Ic} were calculated for the load corresponding to a deviation from linearity between the applied load and electric-potential curve. The deviations in linearity were either smooth or stepped.

Smoothly varying curves, indicating continuous crack growth to fracture, occurred at room and +200 F testing temperatures. Ideal plane strain K_{Ic} conditions did not exist because of the relatively large size of the plastic zone in comparison to the sheet thickness, which prevented a pop-in. It is recognized that the K_{Ic} values reported may be somewhat higher than would normally be expected from thicker sheet. The load at the point of nonlinearity can be readily determined within ± 5 percent which results in the same percentage change in K_{Ic} .

A stepped curve generally showed a pop-in followed by a period of crack arrest with increasing load for the -50 and -100 F test temperatures. At these temperatures, the specimen dimensions were suitable for plane strain conditions.⁷ Several such pop-ins may occur before fracture. To insure that the first step was a pop-in valid for K_{Ic} calculations, a specimen tempered at 400 F was fatigued at room temperature and then pulled at -100 F until the first step occurred followed by a slight increase in load. The test was stopped and the specimen was again fatigued (room temperature) at the initial stress level and finally fractured at room temperature. The fracture surface is shown in Figure 1 where the pop-in extension can be easily seen.

The following equation for K_{Ic} was used:

$$K_{Ic} = \sigma_I \left[W \tan \left(\frac{\pi a_0}{W} + \frac{K_{Ic}^2}{2W\sigma_y^2} \right) \right]^{1/2}$$

where σ_I = gross section stress at deviation

W = specimen width

a_c = 1/2 crack length at deviation

σ_{ys} = 6.2% tensile yield stress

The critical stress-intensity factor at instability, K_C , was also calculated from the same equation using one-half the crack length and the gross section stress at failure instead of at deviation.

Subsize Charpy impact specimens, 0.097 inch wide by 0.394 inch deep, were machined from the fracture toughness specimens tested at room temperature. The transition temperatures, taken as the lowest temperature at which the fracture is 100 percent fibrous, will be somewhat lower than that obtained from a standard 0.394-inch-square Charpy impact specimen.⁹ All mechanical testing procedures followed ASTM recommendations. Test temperatures were obtained by using radiant heating lamps or dry ice in alcohol. Companion tests conducted at room temperature in alcohol showed no effect of this environment upon crack growth.

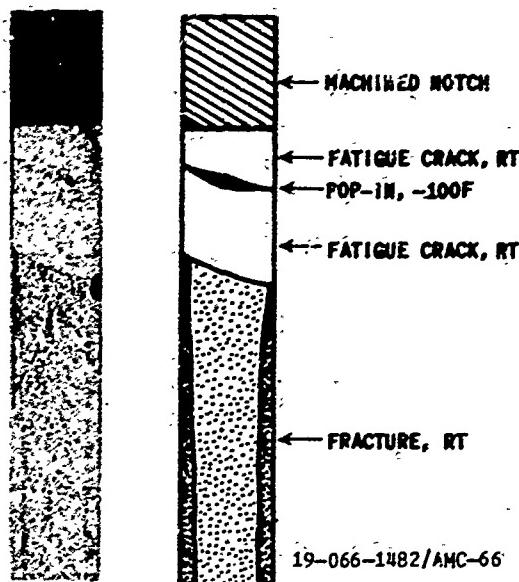


Figure 1. PLANE STRAIN CRACK EXTENSION AT -100 F. CENTER-NOTCHED SPECIMEN: TEMPERED AT 400 F. 5X.

PREVIOUS WORK

Embrittlement after tempering at 500 to 700 F is generally shown by a minimum in a plot of the room temperature Charpy impact energy versus tempering temperature, and has been reported by a number of investigators.¹⁰⁻¹³ Other investigators have noted minima in room temperature notch tensile¹⁴ and torsion impact tests.¹⁵

Rippling¹⁶ showed the importance of test temperature as a criterion for evaluating tempered martensite embrittlement. In unnotched tensile tests on 1340 steel, he showed no discontinuity in room temperature tensile properties over a range of tempering temperatures up to 1200 F. Reducing the testing temperature to -196 C (-320 F) showed no evidence of embrittlement by yield or tensile strength. While no discontinuity in reduction of area and fracture stress had been noted at room temperature, a minimum in these properties at 500 F was noted at low temperatures. Apparently Rickett and Hodge¹² were the first to show by impact testing carried out over a range of temperatures that tempered martensite embrittlement is a manifestation of the change in transition temperature with tempering temperatures.

With the acceptance of fracture toughness testing, considerable data have been generated on the effect of tempering temperature (or strength level) on fracture toughness in 4340 and other high-strength steels. Hays and Wessel¹⁷

reported properties of 4340 over a range of test temperatures and tempering temperatures, although they intentionally avoided the 500 F embrittlement range. Backofen and Ebner¹⁸ were concerned with the effect of processing history on fracture toughness of 4340 steel. No clear evidence of embrittlement was observed in their room temperature tests. Amateau, Hanna and Steigerwald¹⁹ reviewed data on fracture toughness of 4340 steel. Data consolidated from several sources showed no evidence of 500 F embrittlement, either in room temperature or low temperature tests. In a later report, Amateau and Steigerwald²⁰ reported 500 F embrittlement in single edge-notched and notch bend specimens, but not with surface crack or center-notched tensile specimens. Lauta and Steigerwald²¹ later studied the tensile and fracture toughness of 4340 steel as a function of tempering temperature and test temperature, and attempted to relate K_{IC} to the strain hardening exponent. No simple relationship was evident.

Baker, Lauta, and Wei^{22,23} reported fracture toughness of 4340 over a range of tempering temperatures. For both air-melted and consumable electrode vacuum-remelted steel they showed that K_{IC} was relatively constant from 400 to 600 F, but increased sharply with further tempering. Banerjee^{24,25} investigated air-melted and vacuum-induction-remelted 4340 steel. Fracture toughness was based on percentage shear in center-notched fatigue-cracked specimens and K_C (plane stress) values were reported. In the vacuum-induction-remelted steel, a minimum in toughness at 600 F was found. For the air-melted steel, a gradual increase in fracture toughness up to 800 F was found, although with considerable scatter in the 200 to 600 F range. The difference was attributed to the weakening effect of the inclusion-matrix interfaces in the air-melted steel.

These data on fracture toughness of 4340 steel from a number of sources show a somewhat contradictory pattern. It should be kept in mind, of course, that some of the data were generated using fracture toughness testing procedures which are not optimal by today's standards. Some investigators showed no evidence of 500 F embrittlement, with the fracture toughness increasing smoothly with increasing tempering temperatures. Other investigations showed some manifestation of embrittlement by a region of relatively constant toughness in the 500 to 700 F range but with the toughness increasing rapidly at higher temperatures, or in some cases, by an actual minimum in toughness. Composition (or melting practice) was a factor in one case, as was the type of specimen used to determine fracture toughness. Almost all the results are for room temperature tests. This is somewhat surprising, in view of the fact that tempered martensite embrittlement is generally recognized to be a manifestation of the change in transition temperature with tempering temperatures.

The mechanism of 500 F embrittlement has not yet been determined. Grossman¹⁰ noted that the fracture surfaces of embrittled steels contained many bright, intercrystalline facets. From metallographic observations, he concluded that a ferrite grain boundary network was responsible for the embrittlement, and not retained austenite, which had been an earlier view. Klingler et al.¹³ observed that embrittlement is a time-and-temperature-dependent phenomenon and is associated with the early stages of precipitation of cementite from martensite. They felt that localized precipitation of cementite at prior austenite grain boundaries and the ferrite network surrounding

this cementite was probably the cause of the embrittlement. It has also been suggested by Lement, Averbach, and Cohen²⁶ that a continuous carbide film at martensite plate boundaries forming in this temperature range could be the cause of embrittlement. Nakashima and Libsch²⁷ found that tempering by induction heating avoided tempered martensite embrittlement and also tended to form globular carbides at the start of the third stage of tempering. The association of embrittlement with the precipitation of cementite is also confirmed by the effect of silicon on tempering. Allten and Payson,²⁸ Shih, Averbach, and Cohen,²⁹ and Altstetter, Cohen, and Averbach³⁰ showed that an increase in silicon content raises the temperature at which cementite formation begins, and also raises the temperature for the minimum in impact energy.

Thermomechanical treatments, such as the formation of martensite in cold-worked austenite, have been found to alleviate the tendency toward embrittlement on tempering by shifting the impact transition curve to lower temperatures.³¹

Considerable evidence has been gathered, especially by Capus,³²⁻³⁴ that impurity elements play an important role in controlling embrittlement. High purity steel shows no tempered martensite embrittlement, and the transition temperature decreases continuously with increasing tempering temperature. Additions of certain impurity elements, P, As, Sb, Sn, N, and Si, may lower the supertransition energy level and give rise to a maximum in the transition temperature at some intermediate tempering temperature.

Recently, transmission electron microscopy has been used to study the structure of steel tempered in this range. Baker et al.²² noted that the improvement in toughness on tempering beyond the 500 F embrittlement range was associated with a spheroidization of carbide particles at martensite and twin boundaries, recovery in the high dislocation density array, and elimination of the twin boundaries. The actual embrittlement was aided by preferential paths for crack propagation provided by the carbide films.

Somewhat different conclusions were reached by Banerjee.^{24,25} He concluded that tempered martensite embrittlement (as well as temper brittleness, which occurs in certain steels at about 1000 F) was associated with the simultaneous resolution of a metastable precipitate and reprecipitation of a more stable precipitate. He felt that a higher dislocation density, together with locking of the dislocation intersections and jogs by the precipitate, was the cause of the embrittlement.

Bucher et al.³⁵ have recently studied the structure of high-strength steels by electron fractography. They showed that the fracture surfaces of Charpy impact specimens tempered in the embrittlement range contained high proportions of grain boundary fracture (up to 40%) whereas the fracture surfaces of specimens tempered below and above this range were predominantly dimpled rupture or cleavage. These results strikingly confirmed the relationship between tempered martensite embrittlement and grain boundary fracture.

RESULTS

The subsize Charpy V-notch impact results are shown in Figure 2. The energy and the fibrosity in the fracture are plotted versus testing temperature for tempering temperatures of 400, 450, 500, 550, 600, 650, and 700 F. In Figure 3 the room temperature energy is plotted versus tempering temperature. Tempered martensite embrittlement is evident by the minimum extending from 500 to 650 F.

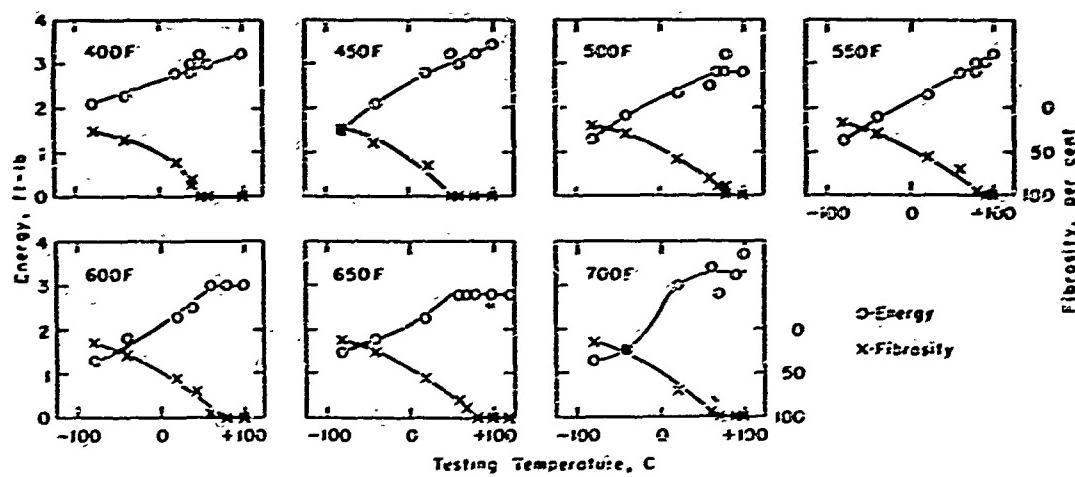


Figure 2. IMPACT ENERGY AND FRACTURE APPEARANCE AS A FUNCTION OF TESTING TEMPERATURE FOR VARIOUS TEMPERING TEMPERATURES,
19-066-855/AMC-66 ONE-QUARTER WIDTH. STANDARD DEPTH CHARPY SPECIMENS.

The transition temperature was determined for each tempering temperature, using as a criterion the lowest temperature at which the fracture appearance is 100 percent fibrous. This is plotted in Figure 4. It can be seen that the transition temperature is a maximum in the region of embrittlement. If some temperature above the transition temperature were used as a reference instead of room temperature, embrittlement would be much less evident. This is shown in Figure 5 where the energy at a test temperature of 100 C is plotted versus tempering temperature, and the curve is quite flat.

The energy values in Figures 2, 3, and 5 were determined on standard depth, quarter-width specimens. The energy for a full-size (0.394-inch square) Charpy bar at a given percent fibrosity can be approximated by multiplying the energy values by four.⁹ However, the transition temperature and the whole transition region is shifted to lower temperatures with reduced width specimens. For the size of bar used and in this tempering temperature range for 4340 steel, the results of Fahey and Kula⁹ show that the transition temperature is reduced about 30 to 60 C.

Standard smooth bar tensile properties determined over a range of tempering temperatures from 400 to 700 F are plotted in Figure 6. These show that the tensile strength decreased steadily over this temperature range, while the yield strength is almost constant between 400 and 600 F, and then decreases.

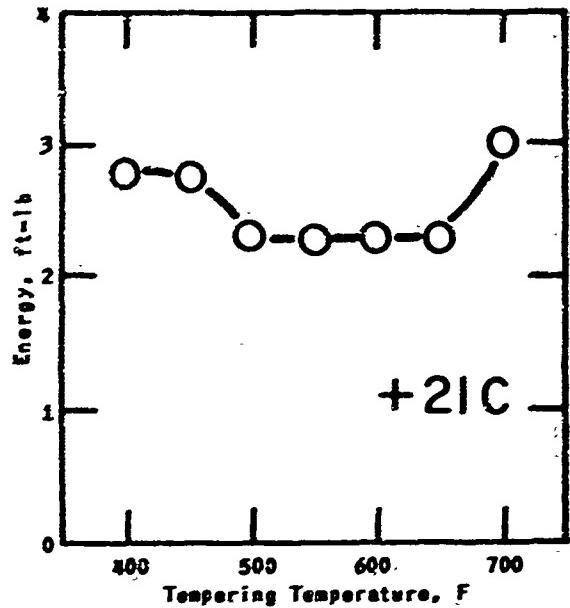


Figure 3. ROOM TEMPERATURE IMPACT ENERGY AS A FUNCTION OF TEMPERING TEMPERATURE. ONE-QUARTER WIDTH, STANDARD DEPTH CHARPY SPECIMENS.

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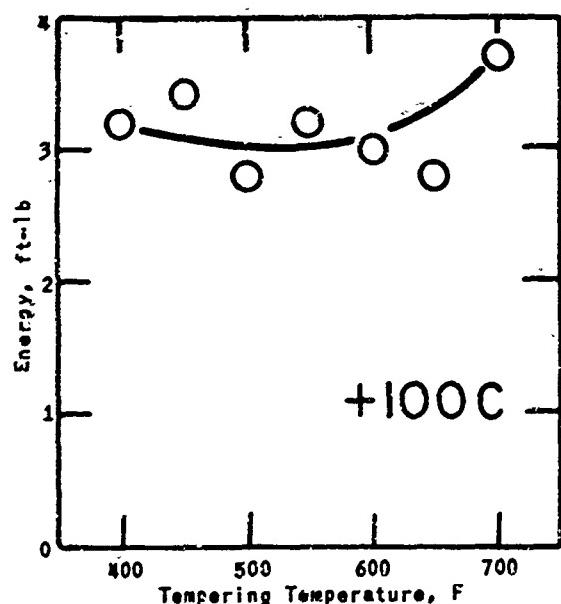


Figure 5. IMPACT ENERGY AS A FUNCTION OF TEMPERING TEMPERATURE FOR TESTS CONDUCTED AT +100 C. ONE-QUARTER WIDTH, STANDARD DEPTH CHARPY SPECIMENS.

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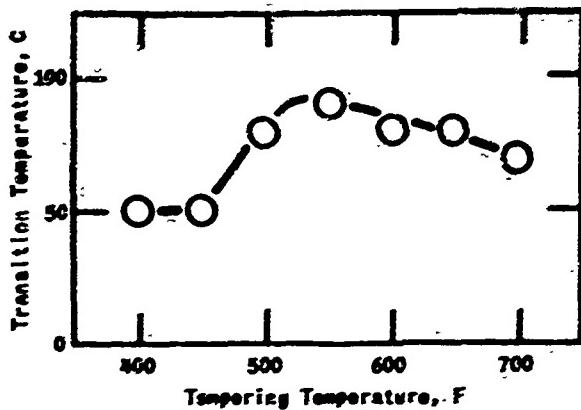


Figure 4. EFFECT OF TEMPERING TEMPERATURE ON (100 PERCENT FIBROSY) CHARPY TRANSITION TEMPERATURE. ONE-QUARTER WIDTH, STANDARD DEPTH CHARPY SPECIMENS.

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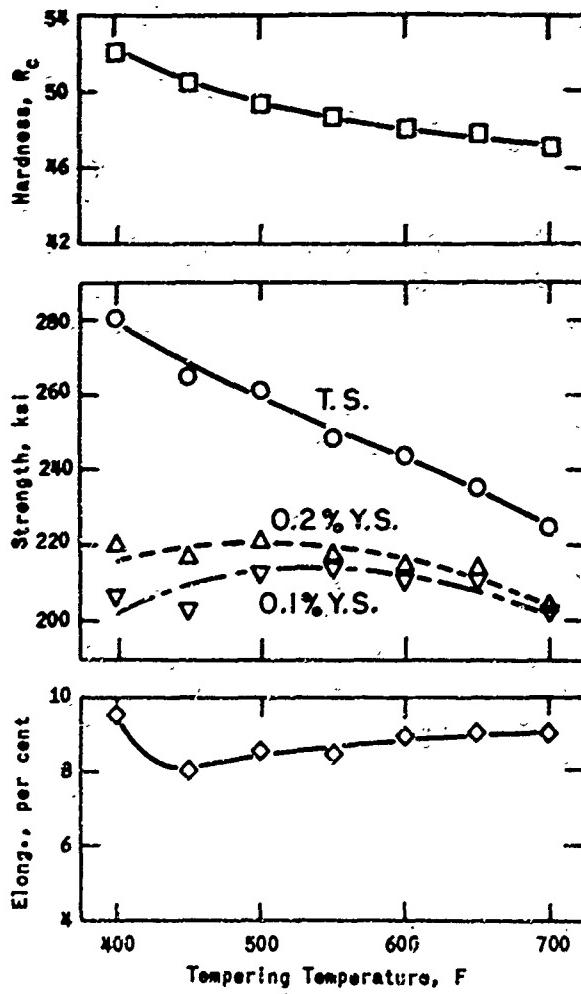


Figure 6. EFFECT OF TEMPERING TEMPERATURE ON ROOM TEMPERATURE HARDNESS AND TENSILE PROPERTIES.

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The elongation shows little change. The Rockwell C hardness, also plotted in Figure 6, shows a decrease over this range.

The yield and tensile strengths were determined over a range of test temperatures for several tempering temperatures in order to subsequently determine the fracture toughness. These results are plotted in Figure 7, and show that the strength increases as the test temperature decreases.

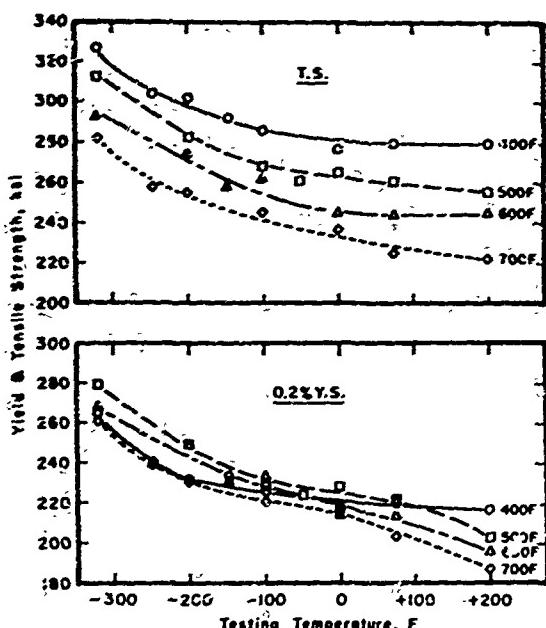


Figure 7. EFFECT OF TEMPERING AND TESTING TEMPERATURE ON THE YIELD AND TENSILE STRENGTH

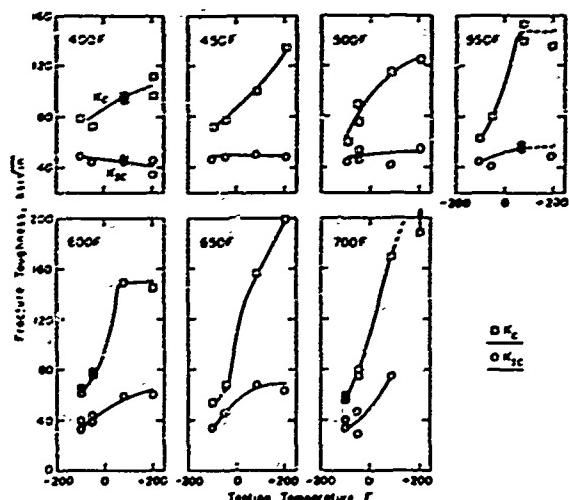
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Fracture toughness values, both plane strain K_{Ic} as well as plane stress K_c , were determined over a range of test temperatures between -190 and +200 F for tempering temperatures between 400 and 700 F. The results in Figure 8 show that the K_c values drop sharply with decreasing test temperature, especially for the higher tempering temperatures. The plane strain fracture toughness shows much less of a change with temperature, and for the lower tempering temperatures is almost independent of test temperature.

The percent shear in the fracture has sometimes been used as a measure of fracture toughness. The results in Figure 9 for shear measurements at a distance two times the specimen thickness from the edge of the specimen show that the percent shear decreases rapidly below room temperature for most tempering temperatures. The K_c values qualitatively follow the change in percent shear.

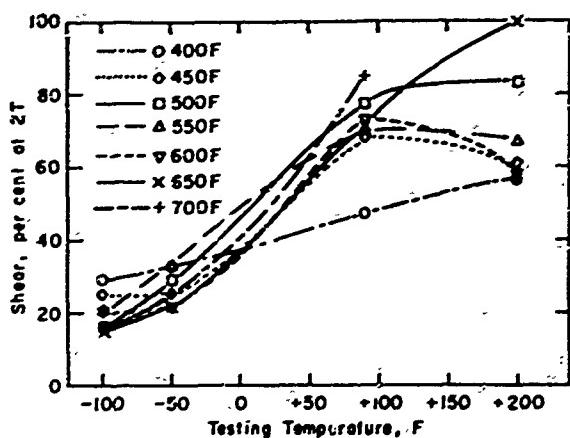
The values of K_{Ic} and K_c from Figure 8 are plotted versus tempering temperature in Figure 10. The results for +200 and +80 show no evidence of embrittlement with the toughness increasing steadily throughout the embrittlement range. The trends at -50 and -100 F are quite different, however. To supplement the original data, some additional samples were tempered over the range 400 to 700 F and at 800 and 900 F. At these test temperatures, the fracture toughness decreases slightly as the tempering temperature is increased beyond 400 F, goes through a minimum in the embrittlement range, and then rises at 800 and 900 F.

These trends are shown again in Figure 11, where the fracture toughness is plotted versus the yield strength. While the results for +200 F and +80 F temperature show the usual trend of decreasing toughness with increasing strength, the results for -50 and -100 F show an evidence of embrittlement in that the toughness is a minimum at 215 to 225 ksi yield strength.



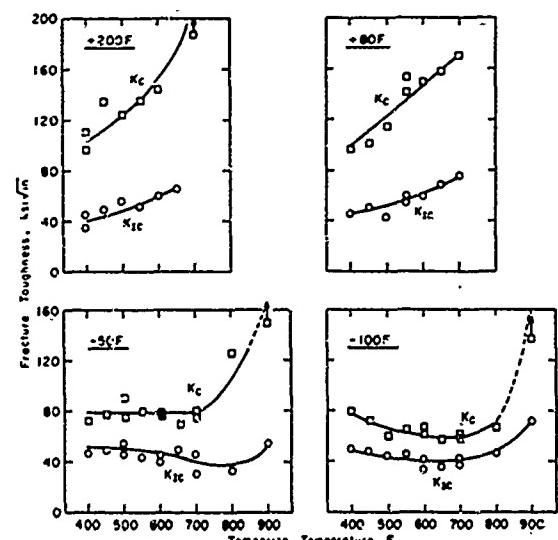
**Figure 8. PLANE STRESS AND PLANE STRAIN
FRACTURE TOUGHNESS AS A FUNCTION OF
TESTING TEMPERATURE FOR VARIOUS
TEMPERING TEMPERATURES**

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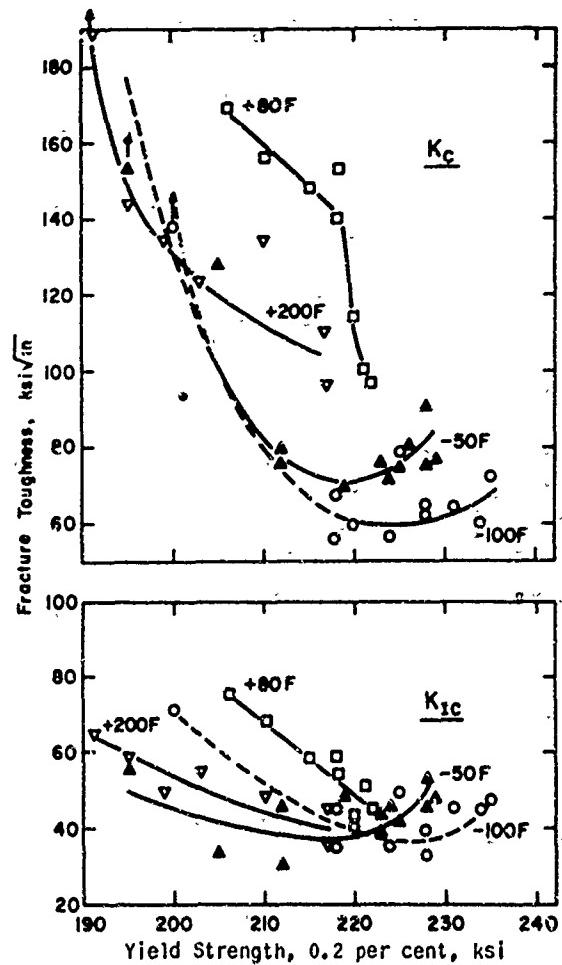
**Figure 9. EFFECT OF TEST TEMPERATURE ON PER-
CENT SHEAR FRACTURE FOR VARIOUS TEMPERING
TEMPERATURES. MEASUREMENT AT 2T FROM EDGE.**

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**Figure 10. PLANE STRESS AND PLANE STRAIN
FRACTURE TOUGHNESS AS A FUNCTION OF
TEMPERING TEMPERATURE FOR SEVERAL
TEST TEMPERATURES**

19-066-1484/AMC-66



**Figure 11. EFFECT OF YIELD STRENGTH ON PLANE
STRESS AND PLANE STRAIN FRACTURE TOUGHNESS
FOR SEVERAL TESTING TEMPERATURES**

19-066-1483/AMC-66

DISCUSSION

Fracture Toughness

The major question to be answered is whether fracture toughness testing can show the presence of tempered martensite embrittlement. The room temperature results plotted in Figure 10 show no embrittlement; these results and those of several other investigations are summarized in Figure 12. The chemical compositions of the 4340 steels used in these investigations are given in Table I. While the values of K_{Ic} vary over quite a range, no marked minimum in toughness is evident. On the other hand, results for test temperatures below room temperature shown in Figure 10 do show unmistakable evidence of embrittlement by a minimum in toughness in the range 600 to 700 F. The answer is clear that tempered martensite embrittlement can be demonstrated by fracture toughness testing, although a testing temperature below room temperature may be necessary.

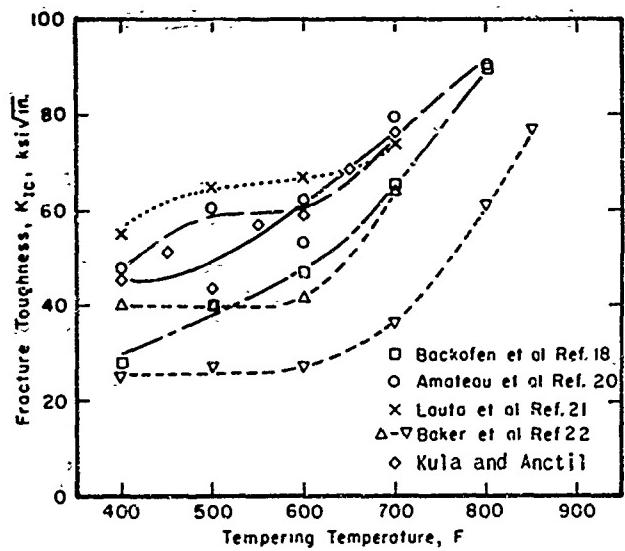


Figure 12. FRACTURE TOUGHNESS AS A FUNCTION OF TEMPERING TEMPERATURE FOR 4340 STEEL FROM SEVERAL DIFFERENT INVESTIGATIONS.

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Table I. CHEMICAL COMPOSITIONS OF THE 4340 STEEL SHOWN IN FIGURES 12 AND 13

		Composition, weight percent							
		C	Mn	Ni	Cr	Mo	Si	P	S
Lauta, et al	Ref. 21	.43	.84	1.78	.78	.26	.27	.006	.005
Amateau, et al	" 20	.43	.65	1.85	.82	.26	.30	.010	.005
Baker, et al	" 22	.43	.77	1.16	.73	.26	.27	.009	.008
Kula and Anctil		.41	.72	1.83	.78	.26	.33	.015	.009
Backofen, et al Ref. 18		.39	.77	1.75	.81	.22	.30	.012	.015
Baker, et al	" 22	.39	.74	1.79	.89	.26	.27	.019	.026

This should not be too surprising since it is well known from Charpy impact test results that this embrittlement is primarily a result of the high transition temperatures in the embrittlement region. The observed severity of embrittlement (i.e., the magnitude of the energy drop on an energy-tempering temperature plot) depends on several factors relating to the surface resulting from the three-dimensional plot of energy (or toughness value), test temperature, and tempering temperature. These are: the reference or test temperature and its relation to the transition temperature; the rate of change of transition temperature with tempering temperature; the rate of change of energy value with tempering temperature, both above and below the transition; and the sharpness of the transition temperature region. A narrow transition region, a large change of transition temperature with tempering temperature, and a test temperature lying within the range of transition temperatures will all tend to give a greater manifestation of embrittlement.

While the transition region in the Charpy test may not be particularly sharp for high strength steels, it does vary with tempering temperature (Figure 4) and lies above room temperature for certain tempering treatments. Unfortunately, there is little information on transition temperatures for fracture toughness values. A transition region does exist for plane stress fracture toughness K_c (Figure 8). Presumably this can be accounted for by the variation in the percent shear in the fracture over this same temperature range (Figure 9), just as the Charpy impact energy values in the transition region are related to the volume of plastically deformed material. But although the plane strain fracture toughness K_{Ic} does vary with test temperature (Figure 8), the transition is much less sharp, if a transition in its true meaning does exist at all. Bucher et al.³⁵ did report changes in the relative amounts of cleavage, dimpled rupture, and grain boundary fracture in Charpy impact specimens tested over a range of tempering and test temperatures, and in slow bend specimens at several tempering temperatures. Preliminary results on electron fractography of the fracture toughness specimens used in this investigation confirmed the results of Bucher. Thus it may ultimately be possible to relate a transition in fracture toughness to changes in the micromechanics of fracture.

The deleterious effects of certain impurity elements which are associated with tempered martensite embrittlement were mentioned earlier. These elements, such as phosphorus, are present in solid solution, and influence both the toughness level and the transition temperature. Sulfur, on the other hand, which does not cause tempered martensite embrittlement, is present in the form of second-phase sulfide particles. These sulfide particles reduce the impact energy level, but have only a small effect on transition temperature, as the results of Hodge, Frazier, and Boulger have shown.³⁶ Wei²³ has demonstrated the deleterious effect of sulfur on fracture toughness in high purity 4335 steel.

Both sulfur and the other impurity elements are present in commercial steels. Recently, Gazza and Larson³⁷ related the supertransition impact energy level of 4340 steel, quenched and tempered at 950 F, to the sum of the weight percent of phosphorus and sulfur, and showed that the energy decreased as the impurity content increased. The room-temperature K_{Ic} plane

strain fracture toughness values summarized in Figure 12 are replotted in Figure 13 versus the sum of the weight percent sulfur and phosphorus (from Table I) for 400 and 700 F tempering temperatures. The fracture toughness determinations were made by different investigators on different type specimens, and the effect of other elements such as carbon, oxygen, and the trace impurities are not considered so that there is considerable scatter in data. However, it is clear that the results of these various investigations are consistent and that both sulfur and phosphorus have a strong effect on reducing fracture toughness.

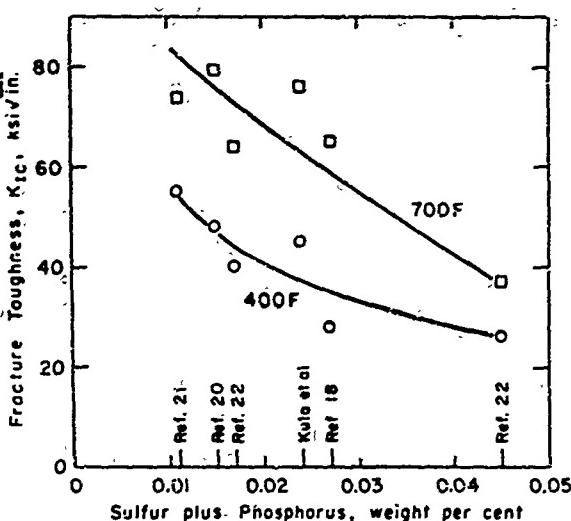


Figure 13: VARIATION OF FRACTURE TOUGHNESS WITH SULFUR PLUS PHOSPHORUS CONTENT FOR 400 AND 700 F TEMPERS.
19-066-1485/AMC-66

Mechanism of Embrittlement

Although it was not the purpose of this study to try to determine the mechanism of tempered martensite embrittlement, some general comments can be made. The early results of Ripling¹⁶ demonstrated quite clearly that this embrittlement is associated with a discontinuity in ductility, but not in tensile flow properties. Under appropriate conditions of temperature, stress state, and strain rate, this reduced ductility can manifest itself in reduced toughness. Accordingly, the cause of tempered martensite embrittlement should be sought in some factors which reduce ductility rather than influence strength.

From the many observations that have been made on embrittled steels, several appear to be significant:

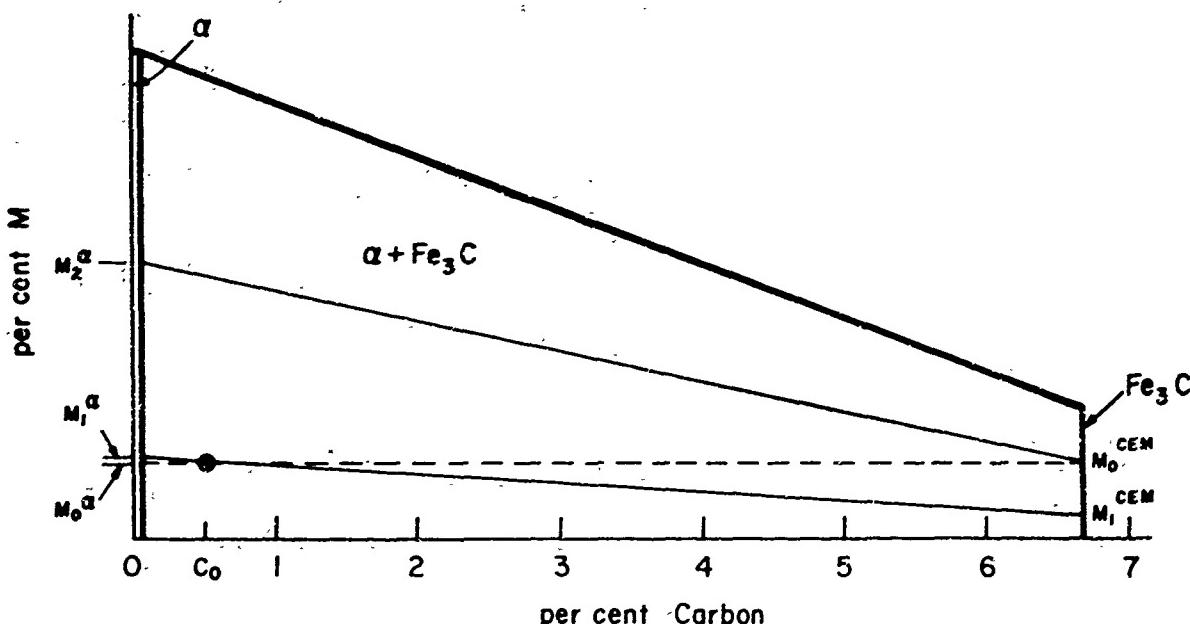
1. When embrittled, the fracture surface shows a large amount of failure along prior austenite grain boundaries. This was recognized by Grossman¹⁰ and Capus,³² and has recently been confirmed by Bucher et al.³⁵ who made quantitative measurements of fracture surfaces by electron fractography.
2. Embrittlement does not occur in high purity steels. It is promoted by certain impurity elements--phosphorus, arsenic, antimony, tin, nitrogen, and silicon, as has been shown by Capus.³²⁻³⁴
3. Embrittlement is associated with the start of the third stage of tempering, where cementite starts to form at the expense of epsilon carbide, and it appears to follow the same time-temperature relations as the tempering.¹³ When silicon is added to steel, the start of the third stage of tempering is retarded and the embrittlement range is shifted to higher temperatures.²⁸⁻³⁰ At this stage of tempering, the cementite is filmy or platelet in appearance and tends to form preferentially at martensite platelet boundaries or at prior austenite grain boundaries.^{13,26} If the initial precipitation of the cementite in a platelet or filmy form can be avoided, as

with tempering by induction heating where the cementite is globular, tempered martensite embrittlement can be avoided.²⁷ During this same stage of tempering, the martensite matrix contains a high dislocation density, while at higher temperatures, there is some recovery and elimination of twin boundaries in the martensite.^{22,24,25} This observation on the structure in the martensite may or may not be pertinent to the problem of embrittlement.

Most of the explanations for tempered martensite embrittlement have embodied some but not all of the above features. Generally, the importance of the impurity elements has been overlooked. Capus³⁴ did recognize the necessity of all three of these observations, and suggested that perhaps the structures produced in this embrittling range are particularly sensitive to the presence of impurity elements. An interaction between segregated impurity elements and cementite films or perhaps with the defect structure of the martensite was postulated. Bucher et al. also suggested that the impurities might segregate in the ferrite in the vicinity of the cementite plates.³⁵ What is suggested in the following is a mechanism whereby certain impurity elements can segregate in the vicinity of cementite and, thereby, cause embrittlement.

When a quenched steel is tempered, the cementite that forms initially inherits the alloy content of the martensite, which in turn is inherited from the austenite. At the low temperatures at which epsilon carbide and the first cementite form, it is primarily the interstitial carbon which can diffuse while the larger substitutional elements remain in place. As tempering proceeds for longer times and to higher temperatures, the alloying elements can diffuse and redistribute themselves according to an equilibrium distribution ratio, given by the phase diagram, between the ferrite matrix and the cementite or between the ferrite and an alloy carbide which may subsequently form. This has been shown by Kuo,³⁸ who determined the chemical composition of electrolytically extracted carbides after various tempering times. For example, in a 0.51% C-3.13% Cr steel, tempered at 560°C, the chromium content of the carbide phase had risen to about 7 percent after one hour tempering and approached 18 percent, the saturation value in cementite, in about 10 hours. The cementite was replaced by Cr₇C₃ on further tempering, and the chromium content of the carbide phase continued to increase to almost 40 percent in about 50 to 100 hours. This redistribution will take place with any alloying element at a sufficiently high temperature, with those elements that prefer the carbide phase diffusing to the carbide and other elements diffusing into the ferrite away from the carbide. There is a scarcity of information on distribution ratios between ferrite and carbides, but it is known from the work of Kuo³⁸ and others³⁹ that strong carbide formers such as chromium, molybdenum, and tungsten segregate in the cementite by replacing iron atoms, while other elements such as silicon and phosphorus probably tend to dissolve more in the ferrite.⁴⁰ No information exists for tin, arsenic, and antimony, the other elements which reportedly cause tempered martensite embrittlement, but they probably prefer the ferrite since the elements replacing iron in cementite seem to be the transition elements. Nitrogen, which can replace carbon in cementite, may be an exception.

When cementite has formed and tempering is continued for longer times or at higher temperatures, these embrittling elements will start to diffuse out of the cementite into the surrounding ferrite. This process can be visualized more clearly by referring to Figure 14, which is a schematic drawing of the ferrite plus cementite phase field of an Fe-M-C alloy, where M is an element which dissolves more readily in ferrite than cementite.



19-066-1489/AMC-66 Figure 14. IRON CORNER OF SCHEMATIC Fe-M-C PHASE DIAGRAM

The composition of the steel is shown by the solid circle, with an M content of M_0 and C content of C_0 . Tie lines, or iso-activity lines, in this two-phase field are $M_1^\alpha - M_1^{\text{CEM}}$ and $M_2^\alpha - M_0^{\text{CEM}}$. On initial formation of the cementite, at temperatures too low for the diffusion of M, the M content of the ferrite and cementite will be the same, M_0^α and M_0^{CEM} , and equal to M_0 . The activity of M in the cementite will be much higher than in the ferrite, however.

The equilibrium M contents for this composition are given by the ends of the tie line through M_0C_0 , namely M_1^α and M_1^{CEM} . When the cementite precipitation is completed and tempering proceeds, M will diffuse into the ferrite because of the higher M activity in cementite. Cementite of a composition M_0^{CEM} is in equilibrium with ferrite of a composition M_2^α , so the M content at the interface will quickly rise to a value about midway between M_0^α and M_2^α . This transient enrichment of ferrite by M near the interface would persist, with the enriched zone gradually widening and the interface composition gradually decreasing until, at higher temperatures, the cementite becomes impoverished in M and the concentration gradient in the ferrite is evened out, at which time equilibrium compositions will be attained.

The question arises as to whether there will be sufficient diffusion of alloying elements at the low temperatures at which embrittlement occurs.

A measure of the distance an element can diffuse into the ferrite, X , can be approximated by $X = \sqrt{Dt}$, where D is the diffusion coefficient and t the time. Using a diffusion coefficient of $7.1 \times 10^{-3} \exp(-40,000/RT)$ for phosphorus in ferrite, which was determined at higher temperatures,⁴¹ one can calculate that a phosphorus atom could diffuse a distance of 18 Å in one hour at an embrittling temperature of 600 F. Whether or not this is a reasonable amount of diffusion can be judged by comparing with data for tempering of chromium steels. The results of Xuo³⁸ show that there was sufficient diffusion of chromium in one hour at 560 C to raise the chromium content of cementite from 3.13 to about 7 percent. Under these conditions, and with $D = 3 \times 10^{-4} \exp(-82,000/RT)$,⁴² one can calculate a distance of 16 Å, about the same as for phosphorus at 600 F. Even though these values of the diffusion coefficients are not strictly valid for an alloyed steel with a high dislocation density, they do show that considerable enrichment of the ferrite adjacent to cementite is possible. On prolonged tempering these transient segregations would disappear because of impoverishment of the cementite and the evening out of the concentration gradient in the ferrite, as mentioned earlier, and spheroidization of the cementite would occur as well.

The next question to be answered is why such a segregated region would be embrittling. It is possible that these elements lower the interfacial energy between ferrite and cementite, thus providing a weak interface. Also, phosphorus and silicon are two of the most potent substitutional solid solution strengthening elements in ferrite, far more potent than the transition elements.⁴³ Thus a small amount could have a strong effect on inhibiting dislocation motion in the immediate vicinity of the interface. It is also well known that phosphorus contents of the order of 0.1% in high purity iron alloys can have an embrittling effect.⁴⁴ If the carbide particles were spheroidal, the effect of the surrounding segregated regions might be to increase the effective size of the carbide, which would not be particularly embrittling. Also separation at the interfaces would not provide a continuous path for a crack. If the cementite is filmy or platelet in form, the adjoining segregated region would have the same shape. Under appropriate stress conditions, a crack that would initiate in the cementite could propagate easily over rather large distances in this region parallel to the interface and hence reach a critical size for unstable growth. It should be noted that in the model that is suggested here, the ferrite surrounding this cementite is stronger and less ductile than the matrix ferrite, in contrast to earlier models which believed that this ferrite region was softer than the rest of the ferrite.

The final question is why intergranular failure along prior austenite grain boundaries is prevalent in embrittled steels. Earlier writers have suggested that the prior austenite grain boundaries are preferential sites for cementite precipitation. Precipitation occurs earlier here, and the cementite particles are larger than inside the prior austenite grains, and are probably continuous. Thus any cracks would be longer at these locations.

It is also possible that the average impurity content of the austenite grain boundaries is high because of grain boundary segregation. Grain boundary segregation of phosphorus in ferrite has been demonstrated by Inman and Tipler.⁴⁵

An increased phosphorus content at austenite grain boundaries would lead to a higher initial phosphorus content in the grain boundary cementite, and in turn to a still higher content in the ferrite at the interface.

In summary, the proposed mechanism is as follows: cementite inherits the impurity content of the martensite. At a sufficiently high temperature, after completion of the cementite precipitation in a platelet or filmy form, alloying elements in the ferrite and cementite will tend to diffuse and redistribute themselves according to an equilibrium distribution ratio. For those elements which have a higher solubility in ferrite than in cementite, this will lead to a transient enrichment of these elements in the ferrite near the cementite interface. When the cementite is in a platelet or filmy form, this region will allow an easy path for crack propagation. This process will be intensified at the prior austenite grain boundaries, where the cementite may be continuous or the platelets larger, and where the impurity content may be highest as a result of grain boundary segregation during austenitizing.

From the limited data that exist, this process seems feasible at least for phosphorus. Unfortunately, there appears to be no quantitative data on distribution coefficients between ferrite and cementite for phosphorus or any of the other elements that are known to cause tempered martensite embrittlement.

SUMMARY

Mechanical properties were determined for 4340 steel quenched and tempered through the range of tempered martensite or 500 F embrittlement and for a range of test temperatures.

Unnotched tensile properties showed a smooth variation of yield and tensile strengths and ductility over testing temperatures ranging from -320 to +200 F.

One-quarter width, standard depth Charpy V-notch impact specimens were tested from -80 to +100 C. Room temperature tests showed embrittlement in the tempering temperature range of 500 to 650 F. Transition temperatures were determined (that is, the minimum temperature at which the fracture was completely fibrous) and were found to be a maximum over this same range of tempering temperatures.

Plane strain, K_{Ic} , and plane stress, K_c , fracture toughness were determined on 3-inch-wide center-notched fatigue-cracked specimens. At room temperature and +200 F, the fracture toughness increased smoothly with increasing temperature or decreasing yield strength, and no evidence of tempered martensite embrittlement was evident. At test temperatures of -50 and -100 F, however, the fracture toughness shows a minimum in the embrittlement range. These results demonstrate that room temperature tests may not be sufficient to determine whether a steel is embrittled, and low temperature tests will be necessary. Plane strain fracture toughness, K_{Ic} , values for 4340 steels from

several different investigations were compared. It was found that the fracture toughness decreased as the sum of the weight percent sulfur plus phosphorus increased. Low impurity levels are necessary for the best toughness values.

A proposed mechanism for tempered martensite embrittlement is offered. Cementite when first formed inherits the alloy content of the martensite. As tempering proceeds, redistribution of the alloying elements will occur, and certain elements more soluble in ferrite will enrich the ferrite adjacent to the cementite. If the cementite is in a platelet or filmy form, this will provide a path for easy crack propagation, especially if these elements have a large strengthening effect on the ferrite or reduce the interfacial energy between ferrite and cementite. This process will occur preferentially at prior austenite grain boundaries, where the impurity content is highest and the cementite particles are largest or continuous.

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13. ABSTRACT Tempered martensite embrittlement (500 F embrittlement) has been studied in 4340 steel by means of Charpy impact, tension, and fracture toughness tests carried out over a range of test temperatures. Embrittlement was shown in the impact tests by a minimum in room temperature impact properties for tempering temperatures ranging from 500 to 650 F, the same range for which the transition temperature is a maximum. No evidence of embrittlement was found in tension or room temperature fracture toughness tests. Embrittlement was noted, however, in fracture toughness tests carried out at -50 and -100 F, which indicates that low temperature testing will be necessary for proper materials evaluation. The plane strain fracture toughness (K_{Ic}) of various heats of 4340 steel has been correlated with the weight percent sulfur and phosphorus in the steel. <i>Was</i>			
A mechanism for tempered martensite embrittlement is proposed. Certain impurity elements, such as phosphorus, which are more soluble in ferrite than in cementite, will segregate in the ferrite adjacent to the cementite shortly after the cementite precipitation. This transient enrichment of ferrite by impurity elements will be embrittling when the cementite is in a platelet or filmy form, and particularly so in the region of the prior austenite grain boundaries, where the impurity content may be higher than average. (Authors.)			

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	ROLE	WT	ROLE	WT	ROLE	WT
Steel (4340) Mechanical properties Fracture (toughness) Embrittlement Tensile properties Metals Temperature						
INSTRUCTIONS						
1. ORIGINATING ACTIVITY: Enter the name and address of the contractor, subcontractor, grantee, Department of Defense activity or other organization (<i>corporate author</i>) issuing the report.	10. AVAILABILITY/LIMITATION NOTICES: Enter any limitations on further dissemination of the report, other than those imposed by security classification, using standard statements such as:					
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7a. TOTAL NUMBER OF PAGES: The total page count should follow normal pagination procedures, i.e., enter the number of pages containing information.	11. SUPPLEMENTARY NOTES: Use for additional explanatory notes.					
7b. NUMBER OF REFERENCES: Enter the total number of references cited in the report.	12. SPONSORING MILITARY ACTIVITY: Enter the name of the departmental project office or laboratory sponsoring (paying for) the research and development. Include address.					
8a. CONTRACT OR GRANT NUMBER: If appropriate, enter the applicable number of the contract or grant under which the report was written.	13. ABSTRACT: Enter an abstract giving a brief and factual summary of the document indicative of the report, even though it may also appear elsewhere in the body of the technical report. If additional space is required, a continuation sheet shall be attached.					
8b, 8c, & 8d. PROJECT NUMBER: Enter the appropriate military department identification, such as project number, subproject number, system numbers, task number, etc.	It is highly desirable that the abstract of classified reports be unclassified. Each paragraph of the abstract shall end with an indication of the military security classification of the information in the paragraph, represented as (TS), (S), (C), or (U).					
9a. ORIGINATOR'S REPORT NUMBER(S): Enter the official report number by which the document will be identified and controlled by the originating activity. This number must be unique to this report.	There is no limitation on the length of the abstract. However, the suggested length is from 150 to 225 words.					
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